

Investment or Deposit Type

College

Foundation

Common Stock (U.S. and foreign stocks held in separately managed accounts or internally managed by institution investment staff; exclude mutual or commingled funds)

Equity/Stock Mutual Funds

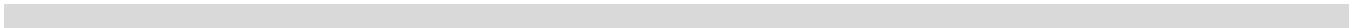
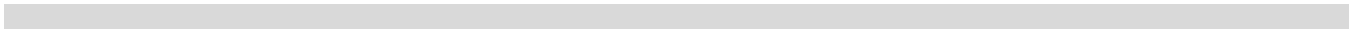
Balanced Mutual Funds (where target allocation is > 50% equities)

"Commonfund" Equity Commingled Funds

Other Equity Commingled Funds (if primarily invested in publicly traded equities)

Preferred Stock

3,950,571.34



| | | |
|--|---|--------------|
| Certificates of Deposit (CD) - Nonnegotiable | 1,618,021.49 | |
| Certificates of Deposit (CD) - Negotiable | | |
| Bank Deposits | 2,333,151.00 | 2,235,344.97 |
| Cash Held at State Treasury | | |
| Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral) | | |
| Other - list by type | | |
| | Total Short-Term Investments & Deposits | 2,235,344.97 |
| | TOTAL INVESTMENTS and DEPOSITS | 8,870,003.00 |
| | 6,541, . | 8,870,003.00 |

Northeast Texas Community College does employ an outside investment advisor/manager to provide the District with investment decisions. The investment advisor/manager is Mr. M. J. Trusty, Esq., CTFA, of Guaranty Bank & Trust, 201 S. Jefferson Ave., Mt. Pleasant, Texas 75455. This advisor does have the authority to make investment decisions for the District provided these decisions follow the policies set forth and approved by the college's Board of Trustees.

Northeast Texas Community College does not use soft dollar, directed brokerage or directed commission, commission recapture, or similar arrangements as a means of paying investment services.

Northeast Texas Community College is associated with Northeast Texas Community College Foundation. The Foundation is included in accordance with Statement No. 39 of the Governmental Accounting Standards Board. The outside investment advisor/manager is Mr. M. J. Trusty, Esq., CTFA, of Guaranty Bank & Trust, 201 S. Jefferson Ave., Mt. Pleasant, Texas 75455.

For inquiries regarding the investments of Northeast Texas Community College, please contact:

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