

The SAO requires institutions to post their responses to the following three questions:

1. Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval?

Northeast Texas Community College does employ an outside investment advisor/manager to provide the District with investment decisions. The investment advisor/manager is Lay McMillen, SVP, of Guaranty Bank & Trust, NA, 100 West Arkansas, Mt. Pleasant, Texas 75455. This advisor does have the authority to make investment decisions for the District provided these decisions follow the policies set forth and approved by the college's Board of Trustees.

2. Does the institution s (a)4rw [(a)4ou67 (e)]T5on

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